STATE OF ILLINOIS SECRETARY OF STATE SECURITIES DEPARTMENT

IN THE MATTER OF: John Naurice Baker

FILE NO. 1100117

AMENDED ORDER OF DISMISSAL

TO RESPONDENT:

John Naurice Baker (Crd#: 5130217)

230 Springhill Road Roselle, IL 60172

C/O New England Securities
Attn: Director of Compliance
1095Avenue of the Americas

New York, NY 10036

TO THE HEARING OFFICER:

Soula J. Spyropoulos
4125 West Lunt Street

Lincolnwood, Illinois 60712

WHEREAS, at the time of the Notice of Hearing, the Illinois Department of Revenue's ("Department of Revenue") records indicated an outstanding tax assessment of a tax administered by the Department of Revenue;

WHEREAS, the Illinois Department of Revenue has released such tax assessment; and

WHEREAS, the Secretary of State, by and through his duly designated representative, has determined that the Notice of Hearing entered on May 12, 2011 may be dismissed against the Respondent.

IT IS HEREBY ORDERED: The Notice of Hearing entered on May 12, 2011 against the Respondent John Naurice Baker in this matter is dismissed without prejudice.

Dated: This 21st day of June 2011.

Beese Witz
JESSE WHITE
Secretary of State

Maria A. Pavone
Enforcement Attorney
Illinois Securities Department
Office of Secretary of State
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